

The objects for which the Trust is formed are as under:

1. To create a trustworthy environment of investment in unlisted shares and conduct educational / training / awareness seminars, workshops and programs for the benefit of members including but not limited to investors, financial fraternity in India;
2. Making available education material on various companies in the form of research reports;
3. Organizing seminars/webinar on unlisted shares and sponsoring various educational events;
4. Creating educational series on various social media platforms and spreading knowledge about unlisted shares market developments, updates, etc.;
5. Creating awareness on various corporate actions of companies in unlisted shares domain;
6. Any other education initiative in order to achieve the objects of this association;
7. To provide a dedicated platform to its members to regularly interact with each other, aid them to identify and understand the problems/difficulties/issues being faced by them/investors/financial fraternity from time to time;
8. To provide an opportunity to its members to share their opinion on various procedures, policies, rules & regulations pertaining to operations of unlisted shares brokerage business in India;
9. To disseminate information to the members through its dedicated website covering the data of unlisted securities, forum for investors grievances, if any, and all such necessary service offerings as would be required for investors protection and awareness of unlisted securities investors from time to time;
10. To form an expert panel for the purpose of market analysis of unlisted shares on periodical basis after taking into account the inputs received from various members, industry brokers and demand/supply of the securities in the market, etc. basis the information available in public domain;
11. To develop an awareness program on Unlisted shares market dynamics among the investors;
12. To cultivate best business practices for members of the Association for developing a fair and efficient market environment for trading of unlisted shares and, to work towards protection of all parties involved in the process by formulating a code of conduct to be followed by members of Association and others engaged in the activities of dealing in unlisted shares;
13. To regulate the market conduct of the members and to bring formalization of various practices from standardization perspective considering the evolving market space of unlisted shares;
14. To protect the interest of members of the Association against any arbitrary or unreasonable action from any regulator in future & to interact with the Regulator to represent on all matters concerning the unlisted shares, if any, on the behalf of all members of Association;

15. To caution the investor community from trading in unlisted shares of frivolous or defunct or small or non-operational companies or any other company which does not meet the criteria as may be agreed to by majority of the members of the Association;

16. To create a grievance redressal mechanism for any complaints or disputes raised by members or investors and ensuring they are resolved in time bound manner;and

17. To ensure that the financial services industry norms including anti-money laundering and KYC norms are adhered to by the members of the Association from time to time.